

FOLEY & LARDNER
ATTORNEYS AT LAW
WASHINGTON HARBOUR
3000 K STREET, N.W., SUITE 500
WASHINGTON, D.C. 20007-5109
TELEPHONE: (202) 672-5300
FACSIMILE: (202) 672-5399

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| Examiner W. Joyce in Group Art Unit 3682 U.S. Patent and Trademark Office | 703-305-5114 | 703-305-7687 |

From: Aaron C. Chatterjee (Reg. 41,398)
Sender's Direct Dial: 202-945-6117
Date: October 27, 2003
Appln. Serial No. 09/964,562
Filed: September 28, 2001
Eiji HIRAI et al.
Atty Dkt No. 040679/1367
User ID No: 0201

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I hereby certify that the following:

**Supplemental Amendment Under 37 CFR §1.111
Amendment Transmittal**

was transmitted to the U.S. Patent and Trademark Office Group 3600 by
facsimile, addressed to:

Examiner W. Joyce
Group Art Unit 3682
U.S. Patent and Trademark Office
Alexandria, Virginia 22313-1450

on October 27, 2003 in the above-identified application.

Karyon Owen
Karyon Owen (secretary to
Aaron C. Chatterjee, Reg. No. 41,398)

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002.1074528.1

Attorney Docket 040679-1367

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eiji HIRAI et al.

Title: ROLLING ELEMENT FOR A CONTINUOUSLY VARIABLE
TRANSMISSION (CVT), A CVT USING THE ROLLING ELEMENT AND A
PROCESS FOR PRODUCING THE ROLLING ELEMENT

Appl. No.: 09/964,562

Filing Date: 09/28/2001

Examiner: W. Joyce

Art Unit: 3682

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Commissioner for Patents

PO Box 1450

Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith is a Supplemental Amendment in the above-identified application.

☐ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous assertion of Small Entity status.☐ Assertion of Small Entity status is enclosed.☒ The fee required for additional claims is calculated below:

| | Claims As Amended | Previously Paid For | Extra Claims Present | Rate | Additional Claims Fee |
|--|-------------------------|------------------------|----------------------------|------------|--------------------------|
| Total Claims: | 45 | - 45 | = 0 | x \$18.00 | = \$0.00 |
| Independents: | 7 | - 7 | = 0 | x \$86.00 | = \$0.00 |
| First presentation of any Multiple Dependent Claims: | | | | + \$290.00 | = \$0.00 |
| CLAIMS FEE TOTAL: | | | | | = \$0.00 |

☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

Application No. 09/964,562

Attorney Docket 040679-1367

| | | | |
|--------------------------|---|------------|--------|
| <input type="checkbox"/> | Extension for response filed within the first month: | \$110.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the second month: | \$420.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the third month: | \$950.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the fourth month: | \$1,480.00 | \$0.00 |
| <input type="checkbox"/> | Extension for response filed within the fifth month: | \$2,010.00 | \$0.00 |
| | EXTENSION FEE TOTAL: | | \$0.00 |
| <input type="checkbox"/> | Statutory Disclaimer Fee under 37 C.F.R. 1.20(d): | \$110.00 | \$0.00 |
| | CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL: | | \$0.00 |
| <input type="checkbox"/> | Small Entity Fees Apply (subtract ½ of above): | | \$0.00 |
| | TOTAL FEE: | | \$0.00 |

☐ Please charge Deposit Account No. 19-0741 in the amount of \$0.00. A duplicate copy of this transmittal is enclosed.

☐ A check in the amount of \$0.00 is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date October 27, 2003

FOLEY & LARDNER
Customer Number: 22428
Telephone: (202) 672-5414
Facsimile: (202) 672-5399

By

Aaron C. Chatterjee

Richard L. Schwaab
Attorney for Applicant
Registration No. 25,479
Aaron C. Chatterjee
Registration No. 41,398